

P21220.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P21220	Total Pages	
Inventor(s) or Application Identifier Shuichi TAKEUCHI and Koichi MARUYAMA			
Title:OBJECTIVE LENS FOR OPTICAL HEAD			

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**APPLICATION ELEMENTS**

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
  - a.  Small Entity Statement(s)
3.  Specification [Total Pages 37]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [Total Sheets 11]
5.  Oath or Declaration [Total Pages 3]
  - a.  Newly executed (original or copy)  Unexecuted
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7.  Application Data Sheet. See 37 CFR 1.76
8.  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

**ACCOMPANYING APPLICATION PARTS**

9. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies
10.  Assignment Papers (cover sheet & document(s))
11.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
12.  English Translation Document (if applicable)
13.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
14.  Preliminary Amendment
15.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16.  Figure of Drawing to be published 1
17.  Foreign priority claimed
  - a.  Claim of Priority
  - b.  Certified Copy of Priority Document(s)
18.  Assignee: ASAHI KOGAKU KOGYO KABUSHIKI KAISHA of Tokyo, JAPAN
19.  Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## 20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior Application No. /, filed .  
*Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_*

21.  Amend the specification by inserting before the first line the sentence:  
This application is a continuation-in-part, continuation, divisional, of Application No. /, filed .

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

10/10/01  
Date

*Leslie H. Bernstein Reg. No. 33,329*  
Signature

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

**007055**

PATENT AND TRADEMARK OFFICE

## FEE TRANSMITTAL

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	S. TAKEUCHI et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$780.00)

Attorney Docket Number P21220

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN,  
P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- Applicant Claims Small Entity Status  
See 37 CFR 1.27.

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION (fees effective 12/29/99)

## 1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid
101	201	370	Utility filing fee	740
106	206	165	Design filing fee	
107	207	255	Plant filing fee	
108	208	370	Reissue filing fee	
114	214	80	Provisional filing fee	

**SUBTOTAL (1) (\$)** 740

## 2. CLAIMS

Total Claims	Extra 11 -20=	Fee from below 0 x 18 =	Fee Paid 0
Independent 3	-3=	0 x 84 =	0
Claims			
Multiple Dependent Claims		x 280 =	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description
103	18	203	Claims in excess of 20
102	84	202	Independent claims in excess of 3
104	280	204	Multiple dependent claim
109	84	209	Reissue independent claims over original patent
110	18	210	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 0.00

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	Surcharge - late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet	
139	130	139	Non-English specification	
147	2,520	147	For filing a request for reexamination	
112	920*	112	Requesting publication of SIR	
113	1,840*	113	Prior to Examiner action	
			Requesting publication of SIR after	
			Examiner action	
			Extension for response within 1st month	
			Extension for response within 2nd month	
117	920	217	Extension for response within 3rd month	
118	1,440	218	Extension for response within 4th month	
128	1,960	228	Extension for response within 5th month	
119	320	219	Notice of Appeal	
120	320	220	Filing a brief in support of an appeal	
121	280	221	Request for oral hearing	
138	1,510	138	Petition to institute a public use proceeding	
140	110	240	Petition to revive unavoidably abandoned application	
141	1,280	241	Petition to revive unintentionally abandoned application	
142	1,280	242	Utility issue fee (or reissue)	
143	460	243	Design issue fee	
144	620	244	Plant issue fee	
122	130	122	Petitions to the Commissioner	
123	50	123	Petitions related to provisional applications	
126	180	126	Submission of IDS	
581	40	581	Recording each patent assignment per property (times number of properties)	40
146	740	246	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	Request for Continued Examination (RCE)	
169	900	169	Request for expedited examination of a design application	
			Other fee (specify)	
			Other fee (specify)	

**SUBTOTAL (3) (\$)** 40

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

## Complete (if applicable)

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature	<i>Bruce H. Bernstein Reg. No. 33,329</i>	Date	10/10/01